

## Anti-Money Laundering and Countering Financing of Terrorism Act 2009

### Customer identification and verification of customer identity

**A. Acknowledgment of express, informed and genuine consent as set out in the Privacy Principles 5 to 11 in section 6 of the Privacy Act 1993, prior to making a verification request**

I the applicant, hereby expressly consent to Ria Financial Services New Zealand to conduct an electronic verification of my identity by:

- a. verifying my identity against personal information held by two reliable and independent electronic sources, or against a single independent electronic source that is able to verify an individual's identity to a high level of confidence; and
- b. Verifying my date of birth from at least one reliable and independent source; and
- c. Verifying my address from at least one reliable and independent source.

Ria will only use the assessment by the reporting entity for the purpose of verifying my identity for the purposes of the AML/CFT Act. To ensure that my consent is genuine, Ria has offered me another option, not reliant upon credit reporting information, for verifying my identity as follows:

1. Equifax Credit File
2. Equifax Id Plus

**B. As set out in in the Privacy Principles 5 to 11 in section 6 of the Privacy Act 1993 Ria agrees to notify in writing the Applicant Agent of:**

A failure to verify the information if the assessment from the CRA does not allow Ria to verify an Applicant Agent identification information, the record must contain:

1. The name of the CRA to which the request was made
2. The personal information provided to the CRA
3. The assessment received

**Declaration of Truth**

I declare that the information given on this form is true & correct in every way and is not misleading or deceptive or is likely to mislead or deceive Ria Financial Services New Zealand or any other person.